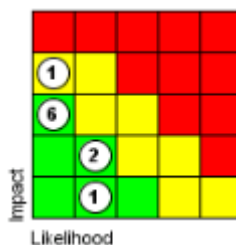


Service Risk Register Environmental Services


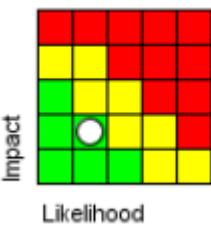
Generated on: 17 June 2009

Facilities


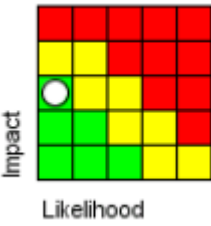
Current Heat Map




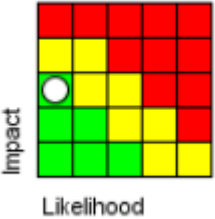
FE 01 Sale/purchase of property at incorrect values and asset valuations not shown at true balance on balance sheet

Risk Code	Risk Title	Description	Status
FE 01	Sale/purchase of property at incorrect values and asset valuations not shown at true balance on balance sheet	Sale/purchase of property at incorrect values and asset valuations not shown at true balance on balance sheet	
Consequences		Financial cost to the Council and breach of S123 Local Gov Act.	
Current Risk Matrix		Previous Rating	Previous Rating Description
		4	B2 Noticeable
		Current Rating	Current Rating Description
		4	B2 Noticeable
		Target Rating	Target Rating Description
			SMT Lead
			Phil Long
			Last Review Date
			28/05/2009


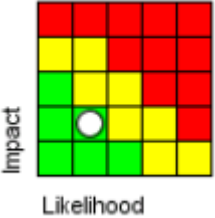
FE 02 Failure to adhere to correct procedures in Landlord and Tenant matters.

Risk Code	Risk Title	Description	Status
FE 02	Failure to adhere to correct procedures in Landlord and Tenant matters.	Failure to adhere to correct procedures in Landlord and Tenant matters.	
Consequences		Not obtaining vacant possession of properties when required.	
Current Risk Matrix		Previous Rating	Previous Rating Description
		3	C1 Noticeable
		Current Rating	Current Rating Description
		3	C1 Noticeable
		Target Rating	Target Rating Description
			SMT Lead
			Phil Long
			Last Review Date
			28/05/2009


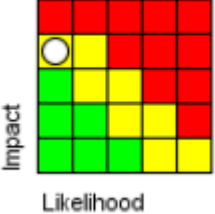


FE 03 Failure in carrying out rent and lease reviews

Risk Code	Risk Title	Description	Status
FE 03	Failure in carrying out rent and lease reviews.	Failure in carrying out rent and lease reviews.	
Consequences		Not maximising rental income	
Current Risk Matrix 	Previous Rating	Previous Rating Description	SMT Lead
	3	C1 Noticeable	Phil Long
	Current Rating	Current Rating Description	Last Review Date
	3	C1 Noticeable	28/05/2009
	Target Rating	Target Rating Description	


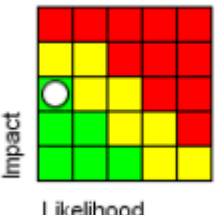
FE 04 Capital projects

Risk Code	Risk Title	Description	Status
FE 04	Capital projects	Capital projects	
Consequences		Failure to implement and complete within specified dates. Exceed budget. Reputation	
Current Risk Matrix 	Previous Rating	Previous Rating Description	SMT Lead
	4	B2 Noticeable	Phil Long
	Current Rating	Current Rating Description	Last Review Date
	4	B2 Noticeable	28/05/2009
	Target Rating	Target Rating Description	


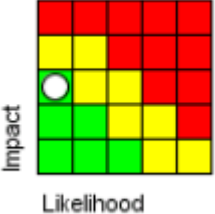
FE 05 Street Lighting - knockdowns

Risk Code	Risk Title	Description	Status	
FE 05	Street Lighting - knockdowns	Street Lighting - knockdowns		
Consequences		Exposure of public to risk of electrocution. Health & Safety		
	Previous Rating	Previous Rating Description	SMT Lead	
	4	D1 Noticeable		Phil Long
	Current Rating	Current Rating Description	Last Review Date	
	4	D1 Noticeable		28/05/2009
Target Rating	Target Rating Description			
	3	C1 Noticeable		
Risk Actions				
Status	Code	Title	Progress Bar	Lead
	Risk FE 01	Monitor any in hour knock downs to ensure prompt action taken	<div style="border: 1px solid black; width: 100px; height: 15px; background-color: #4f81bd; color: white; text-align: center; padding: 2px;">25%</div>	Phil Long; David Summers
	Risk FE 02	Monitor any knockdowns to ensure that there are no in or out of hours issues with the authorities' response	<div style="border: 1px solid black; width: 100px; height: 15px; background-color: #4f81bd; color: white; text-align: center; padding: 2px;">25%</div>	Phil Long; David Summers


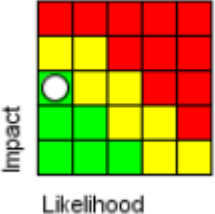
FE 06 Street Lighting

Risk Code	Risk Title	Description	Status
FE 06	Street Lighting		
Consequences		Possibility of column collapse	
	Previous Rating	Previous Rating Description	SMT Lead
	3	C1 Noticeable	Phil Long
	Current Rating	Current Rating Description	Last Review Date
	3	C1 Noticeable	
Target Rating	Target Rating Description		


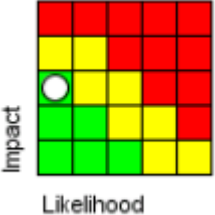
FE 07 Failure to regularly inspect the condition of all buildings

Risk Code	Risk Title	Description	Status	
FE 07	Failure to regularly inspect the condition of all buildings			
Consequences		Health & safety and legal implications		
	Current Risk Matrix	Previous Rating	Previous Rating Description	SMT Lead
		3	C1 Noticeable	Phil Long
		Current Rating	Current Rating Description	
		3	C1 Noticeable	Last Review Date
		Target Rating	Target Rating Description	01/06/2009


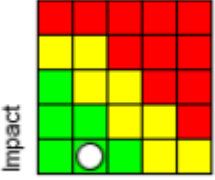
FE 08 Property Unit – team member leaving

Risk Code	Risk Title	Description	Status	
FE 08	Property Unit – team member leaving			
Consequences		Backlog of work / failure in delivery of service		
	Current Risk Matrix	Previous Rating	Previous Rating Description	SMT Lead
		3	C1 Noticeable	Phil Long
		Current Rating	Current Rating Description	
		3	C1 Noticeable	Last Review Date
		Target Rating	Target Rating Description	01/06/2009

FE 09 Street naming & numbering – incorrectly addressed

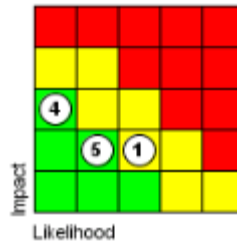
Risk Code	Risk Title	Description	Status	
FE 09	Street naming & numbering – incorrectly addressed			
Consequences		Reputation / financial		
	Current Risk Matrix	Previous Rating	Previous Rating Description	SMT Lead
		3	C1 Noticeable	Phil Long
		Current Rating	Current Rating Description	
		3	C1 Noticeable	Last Review Date
		Target Rating	Target Rating Description	01/06/2009

FE 10 Property Unit – inadequate training

Risk Code	Risk Title	Description	Status
FE 10	Property Unit – inadequate training		
Consequences		Not keeping with up to date with legislation etc resulting in losing professional qualification	
Current Risk Matrix 	Previous Rating	Previous Rating Description	SMT Lead
	2	A2 Noticeable	
	Current Rating	Current Rating Description	Last Review Date
	2	A2 Noticeable	
	Target Rating	Target Rating Description	

Health & Environment


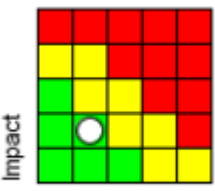
Current Risk Matrix




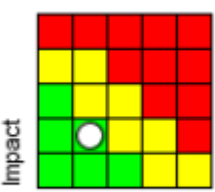
HE 01 Inadequate capacity to respond to major incident

Risk Code	Risk Title	Description	Status
HE 01	Inadequate capacity to respond to major incident	Inadequate capacity to respond to major incident e.g. food poisoning, major contamination risk or introduction of new legislation e.g. Private water Supplies or new area of work	
Consequences		Capacity to respond to such incident/legislation would impact on the routine work of the section-Inadequate skills and knowledge-Unable to comply with statutory requirements-poor assessment by external inspectors/agencies	
Current Risk Matrix		Previous Rating	Previous Rating Description
		6	B3 Significant
		Current Rating	Current Rating Description
		6	B3 Significant
		Target Rating	Target Rating Description
		4	B2 Noticeable
SMT Lead			
Phil Long			
Last Review Date			
11/06/2009			
Risk Actions			
Status	Code	Title	Progress Bar
	Risk HE 01-	In eventuality re prioritisation of work by SUM and potential of assistance from Partnering Authorities	
			Lead
			Phil Long; Steven Richmond


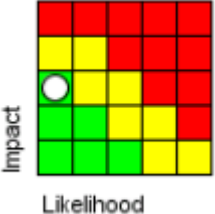
HE 02 Inadequate staffing capacity to respond to the existing commitments and government initiatives on sport, play and leisure

Risk Code	Risk Title	Description	Status
HE 02	Inadequate staffing capacity to respond to the existing commitments and government initiatives on sport, play and leisure		
Consequences		-failure to deliver the Big Lottery Programme-Inadequate skills and knowledge-failure to capitalize on financial grants for the District-failure to advance initiatives in play and sport	
Current Risk Matrix 	Previous Rating	Previous Rating Description	SMT Lead
	4	B2 Noticeable	
	Current Rating	Current Rating Description	Last Review Date
	4	B2 Noticeable	
	Target Rating	Target Rating Description	


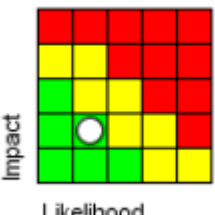
HE 03 Recruitment & Retention of staff

Risk Code	Risk Title	Description	Status
HE 03	Recruitment & Retention of staff		
Consequences		-Inadequate staffing to fulfil service requirements-Difficulty recruiting due to high house prices and national shortage of EHO's-Inadequate experienced and specialised staff-Failure to meet legislative requirements.	
Current Risk Matrix 	Previous Rating	Previous Rating Description	SMT Lead
	4	B2 Noticeable	
	Current Rating	Current Rating Description	Last Review Date
	4	B2 Noticeable	
	Target Rating	Target Rating Description	


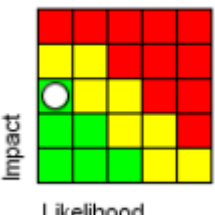
HE 04 Private Water Supply regulations

Risk Code	Risk Title	Description	Status
HE 04	Private Water Supply regulations		
Consequences		Non compliance with statutory duty	
Current Risk Matrix 	Previous Rating	Previous Rating Description	SMT Lead
	3	C1 Noticeable	
	Current Rating	Current Rating Description	Last Review Date
	3	C1 Noticeable	
Target Rating	Target Rating Description		


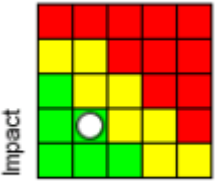
HE 05 Contaminated Land.

Risk Code	Risk Title	Description	Status
HE 05	Contaminated Land	Requirement to identify number of sites of potential concern and number of sites where remediation of land is necessary	
Consequences		Failure to implement contaminated land strategy and limit the Council's liability. Failure to provide funding for additional resources. Shared officer post with Selby now ended. Strategy reviewed by CS&L 31/1/08.	
Current Risk Matrix 	Previous Rating	Previous Rating Description	SMT Lead
	4	B2 Noticeable	
	Current Rating	Current Rating Description	Last Review Date
	4	B2 Noticeable	
Target Rating	Target Rating Description		


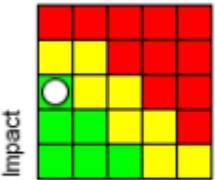
HE 06 Health & Safety Enforcement

Risk Code	Risk Title	Description	Status
HE 06	Health & Safety Enforcement		
Consequences		(a) Failure to participate in the FIT 3 programme for 2008/09. New Section 18 requirements published and needs reviewing. Have up to 3 years to comply. (b) Incorporate H&S within ISO 9001:2000 Quality Management System	
Current Risk Matrix 	Previous Rating	Previous Rating Description	SMT Lead
	3	C1 Noticeable	
	Current Rating	Current Rating Description	Last Review Date
	3	C1 Noticeable	
Target Rating	Target Rating Description		


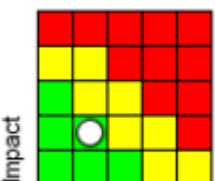
HE 07 MVM Software and e government developments

Risk Code	Risk Title	Description	Status
HE 07	MVM Software and e government developments		
Consequences		Northgate MVM system which deals with all Env Health and Housing systems installed in October 2005.. Next step is to link to the corporate gazetteer to permit the functioning of other e-gov smart options e.g. mobile working etc	
Current Risk Matrix		Previous Rating	Previous Rating Description
 Likelihood	4	B2 Noticeable	
	Current Rating	Current Rating Description	SMT Lead
	4	B2 Noticeable	Last Review Date
	Target Rating	Target Rating Description	11/06/2009


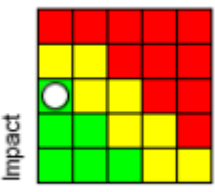
HE 08 Compliance/performance on new NI Indicators

Risk Code	Risk Title	Description	Status
HE 08	Compliance/performance on new NI Indicators		
Consequences		Poor performance nationally reflecting on Comprehensive Area Assessment (CAA)	
Current Risk Matrix		Previous Rating	Previous Rating Description
 Likelihood	3	C1 Noticeable	
	Current Rating	Current Rating Description	SMT Lead
	3	C1 Noticeable	Last Review Date
	Target Rating	Target Rating Description	11/06/2009

HE 09 Food Safety

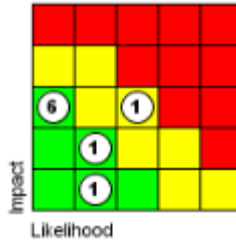
Risk Code	Risk Title	Description	Status
HE 09	Food Safety	(a) Implementation of new FSA Code of Practice and Monitoring system (LAMES) (b) Introduction of Scores on the Doors	
Consequences		Consequent scrutiny of FSA	
Current Risk Matrix		Previous Rating	Previous Rating Description
 Likelihood	4	B2 Noticeable	
	Current Rating	Current Rating Description	SMT Lead
	4	B2 Noticeable	Last Review Date
	Target Rating	Target Rating Description	11/06/2009

HE 10 Local Air Quality Management (LAQM)-Air Quality Review and Assessment

Risk Code	Risk Title	Description	Status
HE 10	Local Air Quality Management (LAQM)-Air Quality Review and Assessment		
Consequences		-Failure to meet Defra deadline. Possibility of requirement to undertake modelling and /or Declare Air Quality management Area.-LA highlighted as a non responder . Ultimately LA would have to provide explanation to Minister	
Current Risk Matrix		Previous Rating	Previous Rating Description
	3	C1 Noticeable	Phil Long
	Current Rating	Current Rating Description	
	3	C1 Noticeable	11/06/2009
	Target Rating	Target Rating Description	

Streetscene

Current Heat Map



SS 01 Waste Management (recycling & refuse collection)

Risk Code	Risk Title	Description	Status
SS 01	Waste Management (recycling & refuse collection)	Meeting recycling standards. Vehicle failure. Strikes. Failure of management systems. Limited contracts for composting and dry recyclables	
Consequences		Failure to collect refuse to 23K households resulting in adverse publicity/environmental public health issues. Removal of service from RDC. Possible removal of funding. Difficulties in marketing recyclable products if businesses fail	
Current Risk Matrix		Previous Rating	Previous Rating Description
		3	C1 Noticeable
		Current Rating	Current Rating Description
		3	C1 Noticeable
		Target Rating	Target Rating Description
			SMT Lead
			Phil Long
			Last Review Date
			11/06/2009

SS 02 Failure to provide a collection service for Trade Waste.

Risk Code	Risk Title	Description	Status	
SS 02	Failure to provide a collection service for Trade Waste.	Increased levels of landfill tax by central government plus LATS liabilities potentially pricing District provided trade waste out of the existing market. (NB external contractors are not faced with the same LATS penalties)		
Consequences		Loss of revenue. Loss of customers. Potential increase in cost re domestic waste collection. Revenue budget implications.		
Current Risk Matrix		Previous Rating	Previous Rating Description	
		3	C1 Noticeable	
	Current Rating	Current Rating Description	SMT Lead	
		3	C1 Noticeable	Last Review Date
	Target Rating	Target Rating Description	11/06/2009	


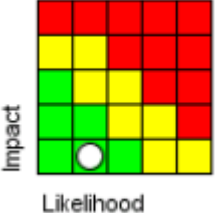
SS 03 Failure to provide a street cleaning service.

Risk Code	Risk Title	Description	Status	
SS 03	Failure to provide a street cleaning service.			
Consequences		Court Action under Environment Protection Act. Adverse publicity. Environmental/public health issues if service not carried out. Reputation of the Council		
Current Risk Matrix		Previous Rating	Previous Rating Description	
		3	C1 Noticeable	
	Current Rating	Current Rating Description	SMT Lead	
		3	C1 Noticeable	Last Review Date
	Target Rating	Target Rating Description	11/06/2009	


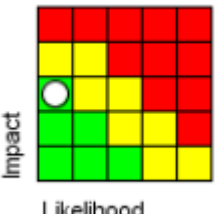
SS 04 Failure to ensure that Taxi's are appropriately licensed.

Risk Code	Risk Title	Description	Status	
SS 04	Failure to ensure that Taxi's are appropriately licensed.			
Consequences		Public not protected - physically/financially. Trade unregulated - no control on fees charges/vehicle safety.		
Current Risk Matrix		Previous Rating	Previous Rating Description	
		3	C1 Noticeable	
	Current Rating	Current Rating Description	SMT Lead	
		3	C1 Noticeable	Last Review Date
	Target Rating	Target Rating Description	11/06/2009	


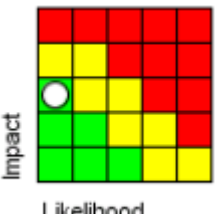
SS 05 Failure to secure and administer 'minor contracts'

Risk Code	Risk Title	Description	Status	
SS 05	Failure to secure and administer 'minor contracts'			
Consequences		Loss of revenue. Loss of manpower.		
	Current Risk Matrix	Previous Rating	Previous Rating Description	SMT Lead
		2	A2 Noticeable	Phil Long
		Current Rating	Current Rating Description	
		2	A2 Noticeable	Last Review Date
		Target Rating	Target Rating Description	11/06/2009


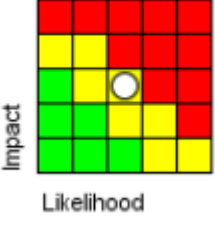

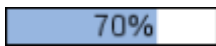
SS 06 Failure to maintain vehicles.

Risk Code	Risk Title	Description	Status	
SS 06	Failure to maintain vehicles.	Failure to comply with requirements of O licence.		
Consequences		HSE enforcement action. Operator's licence withdrawn. Potentially no services – depot closes. Corporate manslaughter charges.		
	Current Risk Matrix	Previous Rating	Previous Rating Description	SMT Lead
		3	C1 Noticeable	Phil Long
		Current Rating	Current Rating Description	
		3	C1 Noticeable	Last Review Date
		Target Rating	Target Rating Description	11/06/2009


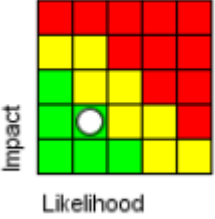
SS 07 failure to comply with Health & Safety

Risk Code	Risk Title	Description	Status	
SS 07	Failure to comply with Health & Safety			
Consequences		Injury to staff. Litigation. Reputation to the Council. Financial cost.		
	Current Risk Matrix	Previous Rating	Previous Rating Description	SMT Lead
		3	C1 Noticeable	Phil Long
		Current Rating	Current Rating Description	
		3	C1 Noticeable	Last Review Date
		Target Rating	Target Rating Description	11/06/2009





SS 08 Depot security is compromised.

Risk Code	Risk Title	Description	Status			
SS 08	Depot security is compromised.					
Consequences		Stolen of damaged goods/property. Insurance costs.				
	Previous Rating	Previous Rating Description	SMT Lead			
	9	C3 Significant	Phil Long			
	Current Rating	Current Rating Description	Last Review Date			
	9	C3 Significant	11/06/2009			
	Target Rating	Target Rating Description				
	3	C1 Noticeable				
Risk Actions						
Status	Code	Title	Description	Progress Bar	Lead	Latest Note
	Risk SS 03	Increased CCTV coverage is being investigated. A new fence has been purchased and awaits erection at back of depot	Increased CCTV coverage or new fence at back of depot		Phil Long; Beckie Bennett	New CCTV system implemented, currently looking at cost of upgrading. Fence purchased for rear and awaiting installation

SS 09 Failure to hit performance targets

Risk Code	Risk Title	Description	Status	
SS 09	Failure to hit performance targets			
Consequences		Will pull the Authority down in its CAA assessments. Failure to manage data quality adequately		
	Current Risk Matrix	Previous Rating	Previous Rating Description	SMT Lead
		4	B2 Noticeable	Phil Long
		Current Rating	Current Rating Description	
		4	B2 Noticeable	Last Review Date
		Target Rating	Target Rating Description	11/06/2009

Key:

Risk Status	
	OK
	Warning
	Alert
	Unknown